# Synthesis and Characterization of Heat-Tempered Cu<sub>2</sub>Zn<sub>0.6</sub>Ca<sub>0.4</sub>SnS<sub>4</sub> Alloy Thin Film

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Abstract: Six samples of  $Cu_2Zn_{0.6}Ca_{0.4}SnS_4$  labeled  $Y_1 - Y_6$  were spin-coated on a pre-cleaned glass from 20 ml each of 0.067 moll Calcium sulfate (CaSO<sub>4</sub>, 98.5% Kermer<sup>R</sup>) and 0.1 mol each of zinc nitrate (Zn(NO<sub>3</sub>)<sub>2</sub>, 99% Aldrich), Copper(II)sulfate hexahydrate (Cu<sub>2</sub>SO<sub>4</sub>.6H<sub>2</sub>O, 98.5% Kermer<sup>R</sup>), stannous sulfate (SnSO<sub>4</sub>, 99% Kermer<sup>R</sup>), and sodium thiosulfate (Na<sub>2</sub>S<sub>2</sub>O<sub>3</sub>, 98.5% Aldrich) with ammonium hydroxide (NH<sub>4</sub>OH, 99% DHR) and triethanolamine ( $C_6H_{15}NO_3$ , 99% Kermer<sup>R</sup>) used as complexing agents. They were left to dry at room temperature.  $Y_2 - Y_6$  were subjected to heat tempering in a carbolite furnace between 150 - 750 °C with a step height of 150 °C. The alloy thin films were structurally, morphologically, and optically characterized. The grain sizes for Y<sub>1</sub>, Y<sub>2</sub>, Y<sub>3</sub>, Y<sub>4</sub>, Y<sub>5</sub>, and Y<sub>6</sub> are 15 nm, 40nm,43 nm, 45 nm, 44 nm, and 42 nm, respectively. The interruption of the normal stacking sequence of atomic planes initially decreases as the temperature increases and the microstrain. The microstrain and stacking fault energy both climaxed at 600 °C. Microstrain and stacking fault energy exhibit a sine and allometric relationship with the temperature (T). As the temperature increases, the band gap reduces from 3.60 eV to 3.26 eV. The residue effect of heat on the band gap variation gives a relative exponential decay of the crystallite. The difference between a shift in energy and a change in optical band gap ( $\Delta E_{strain}$ ) as a function of temperature is given as  $-0.031 \pm$  $3.66667 \times 10^{-4}T.$ 

#### Keywords: alloy; thin films; optical band gap; shift in energy; microstrain.

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# **1. Introduction**

 $Cu_2ZnSnS_4$  (CZTS) is a four-element alloy semiconducting material that has sparked interest in thin-film solar cells since the late 2000s [1]. Other A<sub>2</sub>-B-D-F<sub>4</sub> (where A, B, D, and F are groups:1,2,4, and 6 of the periodic table) materials include Cu, Zn, Sn, and Se (CZTSe), and the S-Se alloy CZTSSe. CZTS has optical and electronic properties similar to CIGS (Cu, In, Ga, and Se) [2,3]. It is researched to be an intrinsic p-type, high absorption coefficient and direct optical band gap of around 1.6 eV, thus making it a good choice for a thin-film solar cell absorber layer [4,5]. The irregularity of the Cu-Zn cations makes it challenging to determine the material's structure. It is the most prevalent defect that may be anticipated by theoretical analysis and verified by spectroscopy techniques [6].

The architecture may be misidentified due to the complex arrangement of the copper and zinc atoms. According to the theoretical analysis result, the instability of the Cu-Zn cations is expected to create unnecessary variations within the CZTS thin-film alloy [7]. As a result, this random ordering causes the materials within the solar cell to have the potential to generate a significant open circuit deficit which is the primary limiting factor for contemporary solar cell devices [8-10].

However, reducing or eliminating the disorder can be done by [11,12] elemental substitution through heterogeneous phase and temperature treatments. Here, Cu<sub>2</sub>Zn<sub>0.6</sub>Ca<sub>0.4</sub>SnS<sub>4</sub> (CZCTS) is synthesized and heat tempered. We investigate the effect of heat tempering on the alloy thin film prepared through the chemical precipitation route and spin-coating technique by considering its crystallographic structures, surface morphology, optical properties, and I-V characteristics [13].

# 2. Materials and Methods

## 2.1 Synthesis of nanocrystal thin film.

CZCTS nanocrystals were synthesized in a beaker containing 20 ml each of 0.067 mol of Calcium sulfate (CaSO<sub>4</sub>, 98.5% Kermer<sup>R</sup>) and 0.1 mol each of zinc nitrate (Zn(NO<sub>3</sub>)<sub>2</sub>, 99% Aldrich), Copper(II)sulfate hexahydrate (Cu<sub>2</sub>SO<sub>4</sub>.6H<sub>2</sub>O, 98.5% Kermer<sup>R</sup>), stannous sulfate (SnSO<sub>4</sub>, 99% Kermer<sup>R</sup>), and sodium thiosulfate (Na<sub>2</sub>S<sub>2</sub>O<sub>3</sub>, 98.5% Aldrich) with ammonium hydroxide (NH<sub>4</sub>OH, 99% DHR) and triethanolamine (C<sub>6</sub>H<sub>15</sub>NO<sub>3</sub>, 99% Kermer<sup>R</sup>) used as complexing agents. The mixture was heated for 90 minutes at 90 °C while stirring. 50 ml of ethanol was added to it after cooling and was centrifuged at 5000 rpm for [14] 20 minutes to let the crystal flocculate and precipitate to remove unreacted chemicals for the first time. Two times afterward, 50 ml of deionized was added each time it was centrifuged; each set took 20 minutes.

The final residue was air dry. 0.1 gram of the air-dry crystals were dispersed in distilled water to form a homogenous solution and spin-coated on a soda-lime glass at 3000 rpm for 30 sec to create a 35 nm thin film. The spin-coated thin film (six in number) was allowed to dry at room temperature. Five spin-coated thin films were heat-tempered by a carbolite furnace in the range of 150 - 750 °C with a step height of 150 °C and were named  $Y_2 - Y_6$  and  $Y_1$  for reference samples without heat tempering. All the materials were optically, morphologically, and structurally characterized.

# 2.2. Characterization techniques.

The crystallographic data were acquired by using diffraction (XRD) on the alloy thin film. Measurements were made using CuK  $\alpha$  radiation with a wavelength of 0.154 nm to obtain the XRD patterns of the CZTS nanostructures [11] for; Y<sub>1</sub>, Y<sub>2</sub>, Y<sub>3</sub>, Y<sub>4</sub>, Y<sub>5</sub>, and Y<sub>6</sub> (Rigaku mini flex 600). The crystals' surface nano-morphology was obtained from a scanning electron microscope (Phenom prox). The elemental composition was done by energy-dispersive x-ray (EDX). Optical characterization [11,15] was evaluated by a UV-Vis-IR spectrometer (AvaSpec 3648). The effects of temperature on the crystallite size, microstrain, and stacking fault' [16-19] regarding the temperature change were also evaluated using Equations 2.1 to 2.5 [17-22];

$$\tau = \frac{k\lambda}{\beta\cos\theta} \tag{2.1}$$

where  $\tau$  is the mean size of the ordered crystalline domain, k is the dimensionless shape factor known as Scherrer's constant with a value of 0.9 [17], and  $\beta$  is the line broadening at half the maximum intensity (FWHM) (rad), and  $\theta$  is the Bragg [17,18] diffraction angle.

$$\frac{1}{d^2} = \left[h^2 + k^2 + l^2\right] \left[\frac{1}{a^2}\right]$$
(2.2)

$$\delta = \frac{1}{D^2} \tag{2.3}$$

$$SF = \left[\frac{2\Pi^2}{45(3\tan\theta)^{\frac{1}{2}}}\right]$$
(2.4)

$$\varepsilon = \frac{\beta \cos \theta}{4} \tag{2.5}$$

where a is the lattice constants, (h, k, l) are the miller indices, D is the grain size, and d [22-29] is the inter-planar spacing.

## 3. Results and Discussion

The XRD spectra of the six samples at varying temperatures are revealed. The effect of tempering on the thin-film samples is presented in Figure 1 [28-30]. The diffraction pattern obtained from the XRD exhibited a polycrystalline nature. It is revealed here that some diffraction peaks appear as the temperature increases until 600 °C, but these peaks resurface at 750 °C. The cliffs that appear are; (100), (210), (220), (410), (421), and (522) at. All these peaks were not present until the samples were heat treated. The grain sizes for  $Y_1$ ,  $Y_2$ ,  $Y_3$ ,  $Y_4$ ,  $Y_5$ , and  $Y_6$  are 15 nm, 40nm,43 nm, 45 nm, 44 nm, and 42 nm, respectively. The grain size increases as the temperature increases, but the size expands towards 600 °C and eventually shrinks afterward.



**Figure 1.** XRD patterns of CZCTS thin films for Y<sub>1</sub>, Y<sub>2</sub>, Y<sub>3</sub>, Y<sub>4</sub>, Y<sub>5</sub>, and Y<sub>6</sub> as subjected to different temperatures.

The initial increase in length may be due to the aggregation of grains that later broke as the temperature approached 600 °C. It may be due to the assembly of many crystallites forming these grains. The same will be accounted for in the behavior of the dislocation density since it is inversely proportional to the square of the grain size observed in SEM micrographs, as shown in Figure 2. The Williamson-Hall (W-H) method was used to estimate the intrinsic strain and crystal size of the alloy thin films from the XRD profiling [11,31], as stated in Equation 2.1 and presented in Table 1.



**Figure 2.** Scanning electron microscopy images of Y<sub>1</sub>, Y<sub>2</sub>, Y<sub>3</sub>, Y<sub>4</sub>, Y<sub>5</sub>, and Y<sub>6</sub>. Alloy thin films. **Table 1**. The microstrain, stacking fault energy, crystal size, and optical band gap for the samples; Y<sub>1</sub>, Y<sub>2</sub>, Y<sub>3</sub>,

$\mathbf{Y}_4, \mathbf{Y}_5, $ and $\mathbf{Y}_6.$									
Samples	Microstrain (µm/m)	Stacking fault	Crystal size	Band gap					
Y1	0.13014	0.63846	15.78320	3.60					
Y2	0.14192	0.66064	40.86000	3.51					
<b>Y</b> 3	0.16427	0.68225	43.09335	3.47					
Y <sub>4</sub>	0.16657	0.69184	45.43093	3.41					
<b>Y</b> 5	0.15709	0.71449	44.15758	3.35					
Y <sub>6</sub>	0.14401	0.68745	42.05508	3.26					

Figure 3 illustrates the effect of heat on the alloy's microstrain ( $\mu\epsilon$ ) and stacking fault energy ( $\gamma_{SFE}$ ). The interruption of the typical stacking sequence of atomic planes initially decreases as the temperature increases and the microstrain. The microstrain and stacking fault energy both climaxed at 600 °C. Microstrain and stacking fault energy exhibit a sine and allometric relationship with the temperature (T), and the models for both ( $\mu\epsilon$ ) and ( $\gamma_{SFE}$ ) for the materials are presented in Equations 3.1 and 3.2, respectively.

$$\mu\varepsilon = (0.14521 \pm 0.00929) + (0.02219 \pm 0.00805) \sin\left[\frac{\pi(T - (158.82773 \pm 93.65109)}{(565.48424 \pm 176.31184)}\right]$$
(3.1)



**Figure 3.** Microstrain and stacking fault energy of CZCTS thin film samples of Y<sub>1</sub>, Y<sub>2</sub>, Y<sub>3</sub>, Y<sub>4</sub>, Y<sub>5</sub>, and Y<sub>6</sub>. as a function of temperature with sine fit and allometric fit models for microstrain and stacking fault energy.

The optical transmittance, which is the effectiveness of the alloy in transmitting radiant energy, is presented after heat treatment. The shown plots illustrate the variation of optical transmittance of the alloy, which was annealed at 150 °C – 750 °C with a step height of 150 °C, as shown in Figure 4. The alloy showed better transmittance performance with 450 °C and 600 °C. The optical absorbance of the heat treatment of the alloy,  $Cu_2Zn_{0.6}Ca_{0.4}SnS_4$ , was obtained using a UV-VIS-IR spectrophotometer. Figure 5 depicts the variation in the alloy along with the wavelength and temperature. The alloy revealed excellent absorption as the temperature increased, showing a greater absorption at 600 °C. The materials revealed the presence of direct optical band gaps. The band gap of the material is obtained through the absorption spectrum using a Tauc plot [23];

$$(\alpha h v)^n = \beta (h v - E_a)$$

(3.3)

where Eg is the material's band gap, h is the Planck constant, v if the frequency,  $\beta$  is as described in Equation 2.1[21],  $\alpha$  is the absorption coefficient, and n can take a value of 2 for indirect band gap and 0.5 for direct band gap. The graph of  $(\alpha hv)^n$  against hv for n, as the case may be for the direct band gaps revealed in Figure 6. As the temperature increases, the band gap reduces from 3.60 eV to 3.26 eV (Table 1). Figure 7 shows the variation of the band gap of the thin film with the crystallite size [11,29,30]. It shows that the residue effect on the difference in the band gap [31-33] gives a relative exponential decay on the crystallite size (D). The exponential relationship from the plot is presented as Equation 3.4.

$$E_g = -4.95914 * 10^{-8} e^{\frac{-D}{-2.90805}} - 4.95914 * 10^{-8} e^{\frac{-D}{-3.23117}} + (-4.95914 * 10^{-8} e^{\frac{-D}{-3.55429}} + 3.60002)$$
(3.4)



**Figure 4.** Transmittance spectrum of CZCTS thin film samples for Y<sub>1</sub>, Y<sub>2</sub>, Y<sub>3</sub>, Y<sub>4</sub>, Y<sub>5</sub>, and Y<sub>6</sub>.



**Figure 6.** Band gap plots of CZCTS thin film samples for Y<sub>1</sub>, Y<sub>2</sub>, Y<sub>3</sub>, Y<sub>4</sub>, Y<sub>5</sub>, and Y<sub>6</sub>.



**Figure 5.** Absorption spectrum of CZCTS thin film samples for Y<sub>1</sub>, Y<sub>2</sub>, Y<sub>3</sub>, Y<sub>4</sub>, Y<sub>5</sub>, and Y<sub>6</sub>.



**Figure 7.** Variation of band gap with crystallite size for thin film samples  $(Y_1, Y_2, Y_3, Y_4, Y_5, and Y_6)$  and exponential fit of the band gap model.

The upward change in temperature has caused the material's energy band gap reduction. This red change within the optical band gap of the material is due to the forceful quantum confinement of more electrons in the conduction band [11, 27,28]. We also observed that the intrinsic strain varies according to the crystal size and crystallinity of the materials, as presented in Table 2.

**Table 2.** The crystallinity, band gap shift energy, the shift in the bulk optical band gap, and change in strain for the samples;  $Y_1$ ,  $Y_2$ ,  $Y_3$ ,  $Y_4$ ,  $Y_5$ , and  $Y_6$ .

ITEMS	$Y_1$	Y <sub>2</sub>	Y <sub>3</sub>	Y <sub>4</sub>	<b>Y</b> 5	Y <sub>6</sub>
Crystallinity (%)	83.12	87.29	92.85	90.46	90.12	88.45
$\Delta E_{SE}$	-	0.11	0.13	0.19	0.25	0.34
$\Delta E_g$	-	0.09	0.04	0.06	0.07	0.09
$\Delta E_{strain}$	-	0.02	0.09	0.13	0.18	0.25

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It has been reported that strain is often responsible for the change "of the optical band gap." Therefore the optical band gap decrease can be attributed to the change in size effect and intrinsic strain caused by the increase in temperature. It is attributed to the relative contribution of these factors to the reduction in the bandgaps vis a vis the crystal size and upward change in temperature. In the weak confinement region, the shift in the energy band gap ( $\Delta E_{SE}$ ) is expressed as [11];

$$\Delta E_{SE} = \frac{\pi^2 \hbar^2}{2R^2} \left\{ \frac{1}{m_e^*} + \frac{1}{m_h^*} \right\} - \frac{18e^2}{40\pi\varepsilon_o\varepsilon R}$$
  
$$\Delta E_{SE} = E_B - E_g$$
(3.5)

where R is the particle's radius,  $m_e^*$  and  $m_h^*$  are the effective masses of electron and hole, respectively,  $\varepsilon_0$  is the dielectric constant of free space,  $\varepsilon$  is the dielectric constant of CZCTS (approximately 8.5), e is the electronic charge, E<sub>g</sub> and E<sub>B</sub> are the energy band gaps for the material and its bulk respectively. The change in optical band gap due to temperature is given as follows;

$$\Delta E_g = E_{g1} - E_{g2} \tag{3.6}$$

where  $\Delta E_g$  is the change in the optical band gap between two marked temperature step heights,  $E_{g1}$  is the initial band gap at the initial temperature, and  $E_{g2}$  is the final temperature at that instance. The plot of the shift in energy band gap with temperature variation is thus given in Figure 8. The contribution of the strain in the band gap of the CZCTS thin film is shown in Table 1. Also, the contribution of  $\Delta E_{strain}$  of the CZCTS thin film can be estimated with Equation 3.7 as;

$$\Delta E_{strain} = \Delta E_{SE} - \Delta E_g$$

$$(3.7)$$

300

100

200

Figure 8. Variation of the shift in energy band gap versus temperature in the samples ( $Y_1$ ,  $Y_2$ ,  $Y_3$ ,  $Y_4$ ,  $Y_5$ , and  $Y_{6}$ ).

400

500

Temperature (℃)

600

800

700

Figure 9 shows the variation of  $\Delta E_{strain}$  in the temperature of the CZCTS thin film. Thus to get the idea of the dependence of the  $\Delta E_{strain}$  on the bulk thin film as related to temperature, the model derived from the plot is given as Equation 3.8.

$$\Delta E_{strain} = -0.031 \pm 3.66667 \times 10^{-4} T \tag{3.8}$$

It clearly shows that the observed decrease in the bandgap with increased crystallite was due to the induced intrinsic strain by the increase in temperature of the thin films.



Figure 9. Variation of the intrinsic strain versus temperature with the linear fit of the inherent strain model for the thin film.

## 4. Conclusions

We have successfully studied the residue effect of heat on the CZCTS thin film at varying temperatures. XRD revealed new peaks which are initially absent in the bulk thin film. The intrinsic strain must have induced these new peaks. When the temperature rises, the grain size increases but decreases as it reaches 600°C. It is thus possible that the initial growth was caused by grains clumping together and breaking apart when the temperature hit 600 °C. Many crystallites may have aggregated to make these grains, which might explain it. As the alloy's temperature rose, it showed more absorption, peaking at 600 degrees Celsius. Direct optical band gaps were found in the materials. The effect of temperature on the impact of the band gap fluctuation generates a relative exponential decrease in the crystallite size, according to the study results. As a result, the shrinkage of the optical band gap may be linked to temperature-induced changes in the size effect and intrinsic strain. The relative influence of these variables on the decrease in the band gaps concerning crystal size and upward temperature change is thus confirmed.

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# **Conflicts of Interest**

The authors declare no conflict of interest.

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